

AMENDMENT TRANSMITTAL

RECEIVED

PATENT

FEB 09 2004

TC 1700

Application No.: 09/891,730  
Filing Date: June 25, 2001  
First Named Inventor: Steven Verhaverbeke  
Examiner's Name: Umez-Eronini, Lynette T.  
Art Unit: 1765  
Attorney Docket No.: 4990 USA/W-C/W-C/JB1

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
- ☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
- ☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
- ☐ Applicant(s) claim small entity status (37 CFR 1.27).

ATTACHMENTS

- ☐ Preliminary Amendment
- ☒ Amendment/Response with respect to Office Action
- ☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
- ☐ Notice of Appeal
- ☐ RCE (Request for Continued Examination)
- ☐ Supplemental Declaration
- ☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
- ☐ Information Disclosure Statement (IDS)
- ☐ Copies of IDS citations
- ☐ Petition for Extension of Time
- ☒ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
- ☐ Cross-Reference to Related Application(s)
- ☐ Certified Copy of Priority Document
- ☐ Other: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- ☐ Check(s)
- ☒ Postcard (Return Receipt)

SUBMITTED BY:

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: Heather M. Molleur

REG. NO.: 50,432

SIGNATURE: *Heather Molleur*

DATE: 1/30/2004

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 on January 30, 2004

Date of Deposit

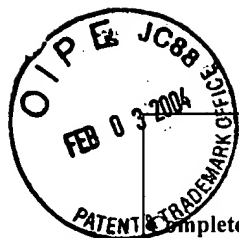
Claire Wallters

*Claire Wallters*  
Signature

Name of Person Mailing Correspondence

1/30/2004  
Date

Express Mail Label No. (if applicable): \_\_\_\_\_

**FEE TRANSMITTAL FOR FY 2004**

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known:

Application No. 09/891,730  
Filing Date June 25, 2001  
First Named Inventor Steven Verhaverbeke  
Examiner Name Umez-Eronini, Lynette T.  
Art Unit 1765  
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     Applicant claims small entity status. See 37 CFR 1.27.

**METHOD OF PAYMENT (check all that apply)**

     Check      Credit Card      Money Order      Other   X   None

     Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name:                                     

  X   The Director is Authorized to do the following with respect to the above-identified Deposit Account:

     Charge fee(s) indicated below.

  X   Credit any overpayments.

  X   Charge any additional fees during the pendency of this application.

  X   Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

     Charge fee(s) indicated below except for the filing fee.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	7702001	385		Utility application filing fee	<u>          </u>
1002	3402002	170		Design application filing fee	<u>          </u>
1003	5302003	265		Plant filing fee	<u>          </u>
1004	7702004	385		Reissue filing fee	<u>          </u>
1005	1602005	80		Provisional application filing fee	<u>          </u>

SUBTOTAL (1) \$ 0

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims		Fee from below	Fee Paid
Total Claims	<u>84</u>	- 121** =	<u>0</u>	<u>  X  </u>	<u>          </u>
Independent Claims	<u>18</u>	- **28 =	<u>0</u>	<u>  X  </u>	<u>          </u>
Multiple Dependent					<u>          </u>

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	182202	9		Claims in excess of 20
1201	862201	43		Independent claims in excess of 3
1203	2902203	145		Multiple dependent claim, if not paid
1204	862204	43		**Reissue independent claims over original patent
1205	182205	9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	2812	2,520	For filing a request for ex parte reexamination	
1813	8,800	2813	8,800	Request for inter parties reexamination	
1804	920*	2804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	2805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	2807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	2806	180	Submission of Information Disclosure Stmt	
8021	40	28021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	2802	900	Request for expedited examination of a design application	
1504	300	2504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	2505	300	Publication fee for republication	
1803	130	2803	130	Request for voluntary publication or republication	
1808	130	2808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	2454	1,330	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$** \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

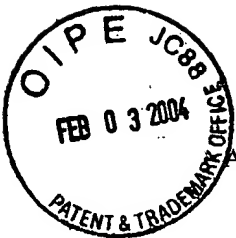
 Typed or Printed Name: Heather M. Molleur

 Signature: Heather Molleur Date: 1/30/2004

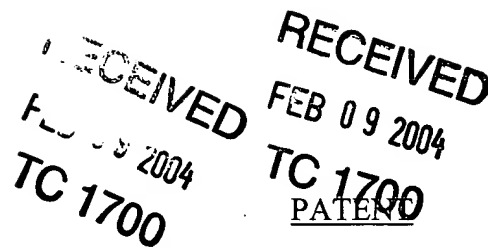
 Reg. Number: 50,432 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Appl. No. 09/891,730  
Amendment dated January 30, 2004  
Reply to Office Action of October 31, 2003



Attorney's Docket No.: 4990 USA/W-C/W-C/JB1



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of: )

Steven Verhaverbeke et al. )

U.S. Serial No: 09/891,730 )

Filed: June 25, 2001 )

For: CLEANING METHOD AND SOLUTION )  
FOR CLEANING A WAFER IN A )  
SINGLE WAFER PROCESS )

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Conf. No.: 1392

Examiner: Umez-Eronini, Lynette T.

Art Unit: 1765

**AMENDMENT AND RESPONSE**

Dear Sir:

This is in response to the Office Action mailed October 31, 2003. Applicant respectfully requests the Examiner to consider the following remarks.

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 30, 2004

Date of Deposit

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters  
Signature

1/30/2004  
Date